Ameriprise Financial

Date: April 17, 2026

VIA EMAIL

Consumer Protection Division
Security Breach Notifications
Office of the Attorney General of Iowa
1305 E. Walnut Street
Des Moines, Iowa 50319-0106
consumer@ag.iowa.gov

Re: Notice of Data Incident

Dear Ms. Bird:

I am writing on behalf of the Ameriprise group of companies to notify you, pursuant to Iowa Code Ann. § 715C.2, regarding a data incident that may have involved the personal information of certain Iowa residents.

Description of the Incident
An unauthorized individual gained access to certain Ameriprise stored data and files, which may have included personal information, and which we blocked upon discovery on March 18, 2026. We immediately launched an investigation with the help of external cybersecurity experts.

Information Involved
The affected categories of information varied from person to person but may have included an individual's name, address, account number, date of birth, and social security number. Regarding our potentially impacted clients, we want to reassure you that no unauthorized transactions or movement of funds occurred as part of this incident.

Number of Iowa Residents Affected
Our current assessment indicates that 583 Iowa residents may have been affected.

Notification to Affected Individuals
We are in the process of providing written notices to affected individuals. Notices will be provided via first-class mail and will contain a summary of the incident, the types of personal information involved, steps individuals can take to help protect themselves and contact information. In addition, the letter will describe how to sign up for credit and identity monitoring, which we are offering at no charge to the recipients. A copy of our individual notification letter is enclosed.

Remedial Measures
Protecting our stakeholders' data remains a priority, and we are treating this matter with the utmost care and attention. For our potentially impacted clients, we have implemented heightened monitoring of their accounts to include enhanced identity verification procedures. We have also partnered with outside cybersecurity experts to investigate this incident and enhance our safeguards. This is all in addition to our robust information security program that is designed to protect clients' financial and personal information through multiple layers of security technology.

For more information about this matter, please contact me using the details provided below.

Respectfully submitted,

Jennifer Swihart,
Director of Compliance
Email: Jen.E.Swihart@ampf.com
Phone: 612-671-8390

[Insert Date]

Name
Address
City, ST Zip Code

NOTICE OF DATA INCIDENT

Dear Name,

I am writing on behalf of Ameriprise Financial, Inc. and its affiliates ("Ameriprise") to inform you of a data security incident. Protecting your data remains our priority, and we are treating this situation with the highest level of care and attention.

What Happened
An unauthorized individual gained access to certain Ameriprise stored data and files, which may have included your personal information, and which we blocked upon discovery on March 18, 2026. We immediately launched an investigation with the help of external cybersecurity experts.

We want to reassure you that no unauthorized transactions or movement of funds occurred as part of this incident. Our Online Security Guarantee remains in place. You can learn more at Online Security Guarantee | Ameriprise Financial.

What Information Was Involved
The affected information varied from person to person but may have included your [Insert Data Elements].

What We Are Doing
We have implemented heightened monitoring of your account(s) to include enhanced identity verification procedures.

In addition, Ameriprise is offering you credit and identity monitoring through Equifax Complete™ Premier for 12 months at no cost. This service, which does not impact credit scores, provides alerts for changes to your credit file and identity restoration services. Included with this letter is more information on the Equifax Complete™ Premier service and the promotional code you can use to enroll.

What You Can Do
We encourage you to activate the credit and identity monitoring services at no cost to you. To activate, please follow the enrollment instructions provided below. We also encourage you to remain vigilant against potential incidents of identity theft and fraud by taking the following steps to help protect your information:

- Register a Fraud Alert or Security Freeze with the three major credit bureaus. Use the contact information on the Additional Resources page below.
- Thoroughly review your account statements and transaction confirmations.
- Monitor your financial or online accounts and credit reports for suspicious activity.
- Report any unauthorized transactions to your account provider and law enforcement.

For More Information

If you have any questions about this notice, please do not hesitate to contact Equifax at 888-504-8526. We remain committed to protecting your information and maintaining your trust. We regret any worry or inconvenience caused by this incident.

Sincerely,

Jennifer Swihart
Director - Compliance
Global Privacy Office
Ameriprise Financial, Inc.

Ameriprise Financial, Inc. is a diversified financial services company that primarily engages in business through its subsidiaries, and provides broker-dealer, advisory, wealth management, asset management, insurance, lending and related products and services under the *Ameriprise Financial*®, *Columbia Threadneedle*®, *Columbia Threadneedle Investments*® and *RiverSource*® brands. Securities offered by Ameriprise Financial Services, LLC. Member FINRA and SIPC.

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Your Activation Code: [Code]

Equifax Complete™ Premier

You must be over age 18 with a credit file to use this product.

Key features

- Annual access to your 3-bureau credit report and VantageScore[1] credit scores.
- Daily access to your Equifax credit report and 1-bureau VantageScore credit score.
- 3-bureau credit monitoring[2] with email notifications of key changes to your credit reports.
- WebScan notifications[3] when your personal information, such as Social Security Number, credit/debit card or bank account numbers are found on fraudulent Internet trading sites.
- Automatic fraud alerts[4], which encourages potential lenders to take extra steps to verify your identity before extending credit, plus blocked inquiry alerts and Equifax credit report lock[5].
- Identity Restoration to help restore your identity should you become a victim of identity theft, and a dedicated Identity Restoration Specialist to work on your behalf.
- Up to $1,000,000 of identity theft insurance coverage for certain out of pocket expenses resulting from identity theft[6].
- Lost Wallet Assistance if your wallet is lost or stolen, and one-stop assistance in canceling and reissuing credit, debit and personal identification cards.

Enrollment instructions

- Go to www.equifax.com/activate, enter your unique Activation Code listed above, and click "Submit".
- **Register:** Complete the form with your contact information and click "Continue".
  - If you already have a myEquifax account, click the 'Sign in here' link under the "Let's get started" header.
  - Once you successfully sign in, you will skip to the Checkout Page in Step 4.
- **Create Account:** Enter your email address, create a password, and accept the terms of use.
- **Verify Identity:** To enroll in your product, complete our identity verification process.
- **Checkout:** Upon successful verification of your identity, you will see the Checkout Page.
  - Click 'Sign Me Up' to finish enrolling.

You're done!

The confirmation page shows your completed enrollment.
Click "View My Product" to access the product features.

[1]The credit scores provided are based on the VantageScore ® 3.0 model. For three-bureau VantageScore credit scores, data from Equifax®, Experian®, and TransUnion® are used respectively. Any one-bureau VantageScore uses Equifax data. Third parties use many different types of credit scores and are likely to use a different type of credit score to assess your creditworthiness. [2]Credit monitoring from Experian and TransUnion will take several days to begin. [3]WebScan searches for your Social Security Number, up to 5 passport numbers, up to 6 bank account numbers, up to 6 credit/debit card numbers, up to 6 email addresses, and up to 10 medical ID numbers. WebScan searches thousands of Internet sites where consumers' personal information is suspected of being bought and sold, and regularly adds new sites to the list of those it searches. However, the Internet addresses of these suspected Internet trading sites are not published and frequently change, so there is no guarantee that we are able to locate and search every possible Internet site where consumers' personal information is at risk of being traded. [4]The Automatic Fraud Alert feature is made available to consumers by Equifax Information Services LLC and fulfilled on its behalf by Equifax Consumer Services LLC. [5]Locking your Equifax credit report will prevent access to it by certain third parties. Locking your Equifax credit report will not prevent access to your credit report at any other credit reporting agency. Entities that may still have access to your Equifax credit report include: companies like Equifax Global Consumer Solutions, which provide you with access to your credit report or credit score, or monitor your credit report as part of a subscription or similar service; companies that provide you with a copy of your credit report or credit score, upon your request; federal, state and local government agencies and courts in certain circumstances; companies using the information in connection with the underwriting of insurance, or for employment, tenant or background screening purposes; companies that have a current account or relationship with you, and collection agencies acting on behalf of those whom you owe; companies that authenticate a consumer's identity for purposes other than granting credit, or for investigating or preventing actual or potential fraud; and companies that wish to make pre-approved offers of credit or insurance to you. To opt out of such pre-approved offers, visit www.optoutprescreen.com. [6]The Identity Theft Insurance benefit is underwritten and administered by American Bankers Insurance Company of Florida, an Assurant company, under group or blanket policies issued to Equifax, Inc., or its respective affiliates for the benefit of its Members. Please refer to the actual policies for terms, conditions, and exclusions of coverage. Coverage may not be available in all jurisdictions.

Additional Resources

Ameriprise resources
Ameriprise Global Privacy Office
901 3rd Ave South, Minneapolis, MN 55402800.414.3398
data.security.notifications@ampf.com

Ameriprise Privacy, Security & Fraud Center: ameriprise.com/privacy-security-fraud

Ameriprise Online Security Guarantee: ameriprise.com/privacy-security-fraud/online-security-guarantee

Other resources

- Federal Trade Commission
  600 Pennsylvania Ave NW,
  Washington, DC 20580
  877.438.4338
  identitytheft.gov
- Equifax
  P.O. Box 740241
  Atlanta, GA 30374
  888.378.4329
  equifax.com
- Experian
  P.O. Box 9554
  Allen, TX 75013
  888.397.3742
  experian.com
- Transunion
  2 Baldwin Place
  P.O. Box 1000
  Chester, PA 19022
  800.916.8800
  transunion.com
- Identity Theft Resource Center
  2514 Jamacha Rd, Ste 502-525
  El Cajon, CA 92019-4492
  888.400.5530
  idtheftcenter.org
- OnGuard Online: onguardonline.gov

Security freeze
Consumers can place a security freeze on their credit report at no cost through the three major credit bureaus. A security freeze prohibits a credit reporting agency from releasing any information from a consumer's credit report without written authorization. However, please be aware that placing a security freeze on your credit report may delay, interfere with, or prevent the timely approval of any requests you make for new loans, credit mortgages, employment, housing or other services.

To place a freeze, write, go online or call the three credit bureaus below. Documents will be requested to verify your identity and address, possibly including but not limited to: copies of your Social Security card, paystub, state driver's license, or utility bill.

Contact/Resource	Phone number	Web	Address
Equifax Security Freeze	888.378.4329	equifax.com	P.O. Box 105788 Atlanta, GA 30348-5788
Experian Security Freeze	888.397.3742	experian.com/freeze/center.html	P.O. Box 9554 Allen, TX 75013
Trans Union Security Freeze	800.916.8800	transunion.com/credit-freeze	P.O. Box 160 Woodlyn, PA 19094

Residents of New Mexico:
The federal Fair Credit Reporting Act provides you certain rights regarding your credit. You may review these rights at files.consumerfinance.gov/f/201504_cfpb_summary_your-rights-under-fcra.pdf.

Residents of Massachusetts and Rhode Island:
As a resident of Massachusetts or Rhode Island, you have the right to obtain any police report filed regarding this incident. If you are the victim of identity theft, you also have the right to file a police report and obtain a copy of it.

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Residents of Iowa, Maryland, North Carolina, New York, Kentucky, Rhode Island and Oregon:
The Identity Theft Unit in your state gives you step-by-step advice on how to protect yourself and help you to address some of the issues that identity theft causes. Report suspected identity theft to your local law enforcement, the Attorney General and the Federal Trade Commission. Below are the mailing address, website, and phone number for the Office of the Attorney General of your state.

State	Phone number	Web	Address
Iowa	515.281.5044 800.373.5044	iowaattorneygeneral.gov	Office of the Attorney General of IA Hoover State Office Building 1305 E Walnut St Des Moines, IA 50319
New York	800.697.1220	dos.ny.gov/consumerprotection	New York Department of State Division of Consumer Protection One Commerce Plaza, 99 Washington Ave Albany, NY 12231-0001
	800.771.7755	ag.ny.gov	Office of the Attorney General of NY The Capitol Albany, NY 12224-0341
North Carolina	877.5-NO-SCAM Toll-free in NC 919.716.6000	ncdoj.gov	Office of the Attorney General of NC Consumer Protection Division 9001 Mail Service Center Raleigh, NC 27699-9001
Oregon	503.378.4400	doj.state.or.us	Oregon Department of Justice 1162 Court St NE Salem, OR 97301-4096
Maryland	410.576.6491	oag.state.md.us	Office of the Attorney General of MD 200 St. Paul Place Baltimore, MD 21202
Kentucky	502.696.5300	ag.ky.gov	Office of the Attorney General of KY 700 Capitol Ave, Suite 118 Frankfort, KY 40601
Rhode Island	401.274.4400	riag.ri.gov	Office of the Attorney General of RI 150 S Main St Providence, RI 02903
District of Columbia	202.727.3400	oag.dc.gov	Office of the Attorney General of DC 441 4th St, NW Washington, DC 20001