

RCVD DEC 3 2018

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November 29, 2018

**Via Email (consumer@iowa.gov)
And Overnight Mail**

Consumer Protection Division
Security Breach Notifications
Office of the Attorney General of Iowa
1305 E. Walnut Street
Des Moines, Iowa 50319

Re: Supplemental Incident Notification

Dear Sir or Madam:

On behalf of our client, LPL Financial LLC (LPL), we are writing to provide an update to the notice sent to your office on November 17, 2018, regarding a data security incident that occurred at an LPL third-party service provider, Capital Forensics, Inc. (CFI).

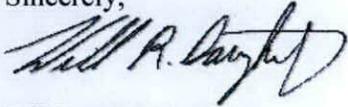
As noted in our prior notice, on November 17, 2018, LPL began mailing notification letters to 3,247 Iowa residents. Since then, LPL has continued to work diligently to identify mailing addresses for additional individuals whose information was contained in the potentially accessed data files on the third-party system that CFI uses and has also worked with the third-party mailing vendor to process the remaining notification lists for mailing, which occurred in phases to facilitate expeditious notifications. LPL received the state-by-state count of individuals who were mailed letters from November 21, 2018 through November 28, 2018, which included notification letters mailed to an additional 63 Iowa residents in accordance with Iowa Code § 715C.2 in substantially the same form as the previously enclosed letter. LPL has provided a telephone number that individuals can call with questions. LPL has also arranged for individuals to receive two years of complimentary credit monitoring and identity protection services from AllClear ID.

Atlanta Chicago Cincinnati Cleveland Columbus Costa Mesa Denver
Houston Los Angeles New York Orlando Philadelphia Seattle Washington, DC

November 29, 2018
Page 2

Please do not hesitate to contact me if you have any questions regarding this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "William R. Daugherty". The signature is fluid and cursive, with the first name "William" and last name "Daugherty" clearly legible.

William R. Daugherty
Partner