

I am sending this letter under the direction of Wendy Feldman, VP, Corporate Counsel, Cyber and Privacy Law Department

Prudential is writing this letter pursuant to Iowa Statute IA Code § 715C.1-2 regarding security breaches to consult with law enforcement and document its determination not to notify the affected individuals of a recent security incident.

A client service manager requested information for his plan and attached to the information he requested was the information for three (3) other plans. This information was in a format of a long series of numbers which included plan ID and social security numbers. The recipient did not know what the information was and therefore requested the information be resent. There were 11 Iowa residents impacted by this mistake.

The client service manager who received this information in error confirmed deletion of the file.

Prudential has determined not to notify affected Iowa residents because this incident has not and will not likely result in identity theft or any other financial harm to the individuals whose personal information was disclosed.

This determination was based on the following:

- The file was deleted after confirming the information was sent in error.
- The data was received in a format that was difficult to decipher.
- The client service manager confirmed in writing that the information will not be used further or disclosed.

The nature of this incident does not require the filing of a police report, and there has not been any notification to other law enforcement agencies at this time.

Please call me if you have any questions.

Thank you,

Doris Lewanski
Executive Assistant
Cyber & Privacy Law Department